

APPENDIX E
SCHEDULES

In the matter of:

Case No.

SCHEDULE 1 (Form 5):

Good Faith Estimate

(Refer to Form 5 Instructions to Complete SCHEDULE 1)

Column A	Column B	Column C
Monthly Estimates	Annual Estimates For First Year	Description of Estimated Disbursement

If additional space is required, attach supporting detail.

ESTIMATED ONE-TIME, FIRST YEAR Disbursements (Money Spent):

1		Estimated Fiduciary Fees and Costs UNTIL Filing of Inventory
2		Estimated Fiduciary's Attorney Fees & Costs UNTIL Filing of Inventory
3		Estimated Protected Person's Attorney Fees & Costs UNTIL Filing of Inventory
4		Estimated Other One-Time Disbursements (provide description)
5		Total Estimated One-Time Disbursements (Add lines 1 through 4)

ESTIMATED MONTHLY, FIRST YEAR Disbursements (Money Spent):

Spent for Protected Person:		Column A multiplied by 12 months
6	Estimated Food, Clothing, and Shelter	
7	Estimated Medical Costs (OPTIONAL)	
8	Estimated Dignity Funds	
9	Estimated Debt Service on Liabilities	
10	Estimated Discretionary Expenditures	
11	Estimated Other for Protected Person (attach detail)	
12	Total Estimated for Protected Person (add lines 6 through 11)	

Spent for Administration Fees & Costs, Excluding

One-Time, First Year Disbursements (lines 1 through 4):

13	Estimated Fiduciary Fees & Costs	
14	Estimated Fiduciary's Attorney Fees & Costs	
15	Estimated Protected Person's Attorney Fees & Costs	
16	Estimated Other Administrative Fees & Costs (provide description)	
17	Total Estimated Administration (add lines 13 through 16)	

18 Total Estimated Monthly Disbursements (add lines 12 & 17)

19 Total Estimated Annual Disbursements (add lines 5, 12 & 17)

20 Due Diligence Statement:

In the matter of:

Case No.

SCHEDULE 2 (Form 6):

Statement of Net Assets

(Refer to Form 6 Instructions to complete SCHEDULE 2)

SECTION 1: Net Assets

1 Valuation Report Date: / / 20

General Assets, Excluding Cash and Bank Accounts:

2	Real Estate				
3	Vehicle(s)				
4	Business Ownership Interests				
5	Household Items and Personal Effects				
6	Stocks, Bonds & Mutual Funds - Not Tax-Deferred				
7	Tax-Deferred Assets				
8	Other General Assets (Attach Detail)				
9	Total General Assets (add lines 2 through 8)				

Cash and Regular Bank Accounts:

10	Bank Accounts - Restricted Access				
11	Bank Accounts - Unrestricted Access				
12	Cash on Hand				
13	Other Money-Denominated Assets (Attach Detail)				
14	Total Cash and Bank Accounts (add lines 10 through 13)				
15	Total Available Assets (add lines 9 and 14)				
16	Bills & Payables more than 30 days old (Attach Detail)				
17	Debts (Attach Detail)				
18	Total Liabilities (Add lines 16 and 17)				
19	Net Assets (line 15 minus line 18)				

SECTION 2: Reconciliation of Conservator's Account

Reconciliation of Cash and Regular Bank Accounts:

20	Starting Cash Balance (Enter Column A, line 14)				
21	Total Receipts (SCHEDULE J, Column C, line 8)				
22	Available Funds (Add lines 20 and 21)				
23	Total Disbursements (SCHEDULE I, Column C, line 23)				
24	Ending Cash Balance (line 22 minus line 23)				

Starting Cash Balance comes from Column A, Line 14

Ending Cash Balance must equal Column B, Line 14

Column A	Column B	Column C	Column D	Column E
Inventory Summary Reported on Form 6 SCHEDULE 2	Inventory Summary	Change from Prior Schedule 2 Column B minus Column A	Change as Percent Column C divided by Column A and multiplied by 100	Explanation of Change
/ / 20	/ / 20			

In the matter of:

Case No.

SCHEDULE 3 (Form 6):

Statement of Sustainability of Conservatorship

(Refer to Form 6 instructions to complete SCHEDULE 3)

Column A Sustainability Estimated in Form 6 SCHEDULE 3:	Column B Original Sustainability Estimate	Column C Change from Prior Schedule 3 <small>Column B minus Column A</small>	Column D Change as Percent <small>Column C divided by Column A and multiplied by 100</small>	Column E Explanation of Change
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1 Report Date of Sustainability Estimate: / / 20

Net Assets Available to Conservatorship:

2 Net Assets (See SCHEDULE 2, line 19)				
3 Adjustments				
4 Adjusted Net Assets (add lines 2 and 3)				

Recurring Net Income/(Net Expenses):

5 Net Income/(Net Expenses) (See SCHEDULE 1, line 27)				
6 Adjustments				
7 Adjusted Net Income/(Net Expenses) (add lines 5 and 6)				

If line 7 is equal to or greater than zero, the Conservatorship is estimated to be sustainable; DO NOT complete lines 8 through 10 in that Column.

8 Enter Adjusted Net Assets (line 4)				
9 Enter Adjusted Net Expenses (Absolute Value of line 7)				
10 Estimated Years of Sustainability (line 8 divided by line 9)				

11 Estimate of Protected Person's remaining life expectancy

12 Conservatorship is Sustainable (See Instructions) Yes No

13 Preceding Management Plan Yes No
Management Plan Going Forward

NOTICE TO RECIPIENT OF THIS SCHEDULE:

The Conservator's estimates and analyses of Adjusted Net Assets, Adjusted Net Income/(Net Expenses), and Protected Person's Life Expectancy are good faith estimates based upon the information that is reasonably available to the Conservator concerning the Protected Person. This information is provided for the limited purpose of assisting the Court in its oversight of this Conservatorship.

In the matter of: Case No.

SCHEDULE 2 (Form 7):
Statement of Net Assets & Reconciliation
 (Refer to Form 7 instructions to complete SCHEDULE 2)
SECTION 1: Net Assets

Valuation Report Date:	Inventory Summary Reported on Form 6 SCHEDULE 2	Updated Valuations for Period Just Ended:	Change from Prior Schedule 2	Change as Percent	Explanation of Change
1	Column A	Column B	Column C	Column D	Column E
1	/ / 20	/ / 20	Column B minus Column A	Column A and multiplied by 100	
General Assets, Excluding Cash and Bank Accounts:					
2	Real Estate				
3	Vehicle(s)				
4	Business Ownership Interests				
5	Household items and Personal Effects				
6	Stocks, Bonds & Mutual Funds - Not Tax-Deferred				
7	Tax-Deferred Assets				
8	Other General Assets (Attach Detail)				
9	Total General Assets (add lines 2 through 8)				
Cash and Regular Bank Accounts:					
10	Bank Accounts - Restricted Access				
11	Bank Accounts - Unrestricted Access				
12	Cash on Hand				
13	Other Money-Denominated Assets (Attach Detail)				
14	Total Cash and Bank Accounts (add lines 10 through 13)				
15	Total Available Assets (add lines 9 and 14)				
16	Bills & Payables more than 30 days old (Attach Detail)				
17	Debts (Attach Detail)				
18	Total Liabilities (Add lines 16 and 17)				
19	Net Assets (line 15 minus line 18)				

SECTION 2: Reconciliation of Conservator's Account
 Reconciliation of Cash and Regular Bank Accounts:

20	Starting Cash Balance (Enter Column A, line 14)				
21	Total Receipts (SCHEDULE 1, Column C, line 8)				
22	Available Funds (Add lines 20 and 21)				
23	Total Disbursements (SCHEDULE 1, Column C, line 23)				
24	Ending Cash Balance (line 22 minus line 23)				

Starting Cash Balance comes from Column A, Line 14

Ending Cash Balance must equal Column B, Line 14

In the matter of:

Case No.

SCHEDULE 3 (Form 7):

Statement of Sustainability of Conservatorship

(Refer to Form 7 Instructions to complete SCHEDULE 3)

Column A	Column B	Column C	Column D	Column E
Sustainability Estimated in Form 6 SCHEDULE 3:	Updated Sustainability Estimated For Period Just Ended	Change from Prior Schedule 3	Change as Percent	Explanation of Change
/ / 20	/ / 20	Column B minus Column A	Column C divided by Column A and multiplied by 100	

1 Report Date of Sustainability Estimate:

Net Assets Available to Conservatorship:

2 Net Assets (See SCHEDULE 2, line 19)

3 Adjustments

4 Adjusted Net Assets (add lines 2 and 3)

Recurring Net Income/(Net Expenses):

5 Net Income/(Net Expenses) (See SCHEDULE 1, line 27)

6 Adjustments

7 Adjusted Net Income/(Net Expenses) (add lines 5 and 6)

If line 7 is equal to or greater than zero, the Conservatorship is estimated to be sustainable; DO NOT complete lines 8 through 10 in that Column.

8 Enter Adjusted Net Assets (line 4)

9 Enter Adjusted Net Expenses (Absolute Value of line 7)

10 Estimated Years of Sustainability (line 8 divided by line 9)

11 Estimate of Protected Person's remaining life expectancy

12 Conservatorship is Sustainable (See Instructions)

13 Preceding Management Plan

Yes No

Yes No

Management Plan Going Forward

NOTICE TO RECIPIENT OF THIS SCHEDULE:

The Conservator's estimates and analyses of Adjusted Net Assets, Adjusted Net Income/(Net Expenses), and Protected Person's Life Expectancy are good faith estimates based upon the information that is reasonably available to the Conservator concerning the Protected Person. This information is provided for the limited purpose of assisting the Court in its oversight of this Conservatorship.

In the matter of:

Case No.

SCHEDULE 2 (Form 8):

Statement of Net Assets & Reconciliation

(Refer to Form 8 Instructions to complete SCHEDULE 2)

SECTION 1: Net Assets

1 Valuation Report Date: / / 20

General Assets, Excluding Cash and Bank Accounts:

	Column A Valuations Reported in Prior Period SCHEDULE 2:	Column B Updated Valuations for Period Just Ended:	Column C Change from Prior Schedule 2 Column A	Column D Change as Percent Column C divided by Column A and multiplied by 100	Column E Explanation of Change
2 Real Estate	/ / 20	/ / 20			
3 Vehicle(s)					
4 Business Ownership Interests					
5 Household items and Personal Effects					
6 Stocks, Bonds & Mutual Funds - Not Tax-Deferred					
7 Tax-Deferred Assets					
8 Other General Assets (Attach Detail)					
9 Total General Assets (add lines 2 through 8)					

Cash and Regular Bank Accounts:

10 Bank Accounts - Restricted Access					
11 Bank Accounts - Unrestricted Access					
12 Cash on Hand					
13 Other Money-Denominated Assets (Attach Detail)					
14 Total Cash and Bank Accounts (add lines 10 through 13)					
15 Total Available Assets (add lines 9 and 14)					
16 Bills & Payables more than 30 days old (Attach Detail)					
17 Debts (Attach Detail)					
18 Total Liabilities (Add lines 16 and 17)					
19 Net Assets (line 15 minus line 18)					

SECTION 2: Reconciliation of Conservator's Account

Reconciliation of Cash and Regular Bank Accounts:

20 Starting Cash Balance (Enter Column A, line 14)					
21 Total Receipts (SCHEDULE 1, Column C, line 8)					
22 Available Funds (Add lines 20 and 21)					
23 Total Disbursements (SCHEDULE 1, Column C, line 23)					
24 Ending Cash Balance (line 22 minus line 23)					

Starting Cash Balance comes from Column A, Line 14

Ending Cash Balance must equal Column B, Line 14

In the matter of:

Case No.

SCHEDULE 3 (Form 8):

Statement of Sustainability of Conservatorship

(Refer to Form 8 instructions to complete SCHEDULE 3)

Column A	Column B	Column C	Column D	Column E
Sustainability Estimated in Prior Period SCHEDULE 3:	Updated Sustainability Estimated For Period Just Ended	Change from Prior Schedule 3	Change as Percent	Explanation of Change
/ / 20	/ / 20	Column B minus Column A	Column C divided by Column A and multiplied by 100	

1 Report Date of Sustainability Estimate:

Net Assets Available to Conservatorship:

2 Net Assets (See SCHEDULE 2, line 19)

3 Adjustments

4 Adjusted Net Assets (add lines 2 and 3)

Recurring Net Income/(Net Expenses):

5 Net Income/(Net Expenses) (See SCHEDULE 1, line 27)

6 Adjustments

7 Adjusted Net Income/(Net Expenses) (add lines 5 and 6)

If line 7 is equal to or greater than zero, the Conservatorship is estimated to be sustainable. DO NOT complete lines 8 through 10 in that Column.

8 Enter Adjusted Net Assets (line 4)

9 Enter Adjusted Net Expenses (Absolute Value of line 7)

10 Estimated Years of Sustainability (line 8 divided by line 9)

11 Estimate of Protected Person's remaining life expectancy

12 Conservatorship is Sustainable (See Instructions)

Yes No Yes No

Management Plan Going Forward

13 Preceding Management Plan

NOTICE TO RECIPIENT OF THIS SCHEDULE:

The Conservator's estimates and analyses of Adjusted Net Assets, Adjusted Net Income/(Net Expenses), and Protected Person's Life Expectancy are good faith estimates based upon the information that is reasonably available to the Conservator concerning the Protected Person. This information is provided for the limited purpose of assisting the Court in its oversight of this Conservatorship.

In the matter of: Case No. _____

SCHEDULE 2 (Form 9):
Statement of Net Assets & Reconciliation
 (Refer to Form 9 Instructions to complete SCHEDULE 2)

SECTION 1: Net Assets
 1 Valuation Report Date: _____ / ____ / 20__

Column A	Column B	Column C	Column D	Column E
Valuations Reported in Prior Period SCHEDULE 2:	Updated Final Valuations	Change from Prior Schedule 2	Change as Percent	Explanation of Change
_____ / ____ / 20__	_____ / ____ / 20__	Column B minus Column A	Column C divided by Column A and multiplied by 100	
General Assets, Excluding Cash and Bank Accounts:				
2 Real Estate				
3 Vehicle(s)				
4 Business Ownership Interests				
5 Household items and Personal Effects				
6 Stocks, Bonds & Mutual Funds - Not Tax-Deferred				
7 Tax-Deferred Assets				
8 Other General Assets (Attach Detail)				
9 Total General Assets (add lines 2 through 8)				

Cash and Regular Bank Accounts:				
10 Bank Accounts - Restricted Access				
11 Bank Accounts - Unrestricted Access				
12 Cash on Hand				
13 Other Money-Denominated Assets (Attach Detail)				
14 Total Cash and Bank Accounts (add lines 10 through 13)				
15 Total Available Assets (add lines 9 and 14)				
16 Bills & Payables more than 30 days old (Attach Detail)				
17 Debts (Attach Detail)				
18 Total Liabilities (Add lines 16 and 17)				
19 Net Assets (line 15 minus line 18)				

SECTION 2: Reconciliation of Conservator's Account
 Reconciliation of Cash and Regular Bank Accounts:

20 Starting Cash Balance (Enter Column A, line 14)				
21 Total Receipts (SCHEDULE 1, Column C, line 8)				
22 Available Funds (Add lines 20 and 21)				
23 Total Disbursements (SCHEDULE 1, Column C, line 23)				
24 Ending Cash Balance (line 22 minus line 23)				

Starting Cash Balance comes from Column A, Line 14

Ending Cash Balance must equal Column B, Line 14

In the matter of: Case No.

SCHEDULE 1 (Form 10):
Statement of Receipts and Disbursements
 (Refer to Form 10 Instructions to Complete SCHEDULE 1)

Column A		Column B	
Past	Present	Past	Present
Actual Results Prior Period:	Actual Results Current Period	Actual Results Prior Period:	Actual Results Current Period
___/___/20	___/___/20	___/___/20	___/___/20
___/___/20	___/___/20	___/___/20	___/___/20

Receipts (Money Received):

3 Retirement and Disability Income	
4 Annuities, Structured Settlements, and Trust Income	
5 Wages and Earned Income	
6 Investment and Business Income	
7 Other Receipts (Attach Schedule)	
8 Total Receipts (add lines 3 through 7)	

Disbursements (Money Spent):

Spent for Protected Person:

9 Food, Clothing, and Shelter	
10 Medical Costs	
11 Dignity Funds	
12 Debt Service on Liabilities	
13 Discretionary Expenditures	
14 Other for Protected Person (Attach Schedule)	
15 Total for Protected Person (add lines 9 through 14)	

Spent for Administrative Fees & Costs:

16 Fiduciary Fees and Costs	
17 Fiduciary's Attorney Fees and Costs	
18 Protected Person's Attorney Fees and Costs	
19 Other Administrative Fees and Costs (Attach Schedule)	
20 Total Administration (add lines 16 through 19)	
21 Total Disbursements (add lines 15 and 20)	
22 Total Surplus/(Shortfall) (line 8 minus line 21)	

In the matter of: Case No.

SCHEDULE 2 (Form 10):

Statement of Net Assets & Reconciliation

(Refer to Form 10 Instructions to complete SCHEDULE 2)

SECTION 1: Net Assets

Valuation Report Date:	Column A Valuations Reported in Prior Period SCHEDULE 2:	Column B Updated Valuations for Period Just Ended:	Column C Explanation of Change
1 / / 20	1 / / 20	1 / / 20	

General Assets, Excluding Cash and Bank Accounts:

2 Real Estate			
3 Vehicle(s)			
4 Business Ownership Interests			
5 Household Items and Personal Effects			
6 Stocks, Bonds & Mutual Funds - Not Tax-Deferred			
7 Tax-Deferred Assets			
8 Other General Assets (Attach Detail)			
9 Total General Assets (add lines 2 through 8)			

Cash and Regular Bank Accounts:

10 Bank Accounts - Restricted Access			
11 Bank Accounts - Unrestricted Access			
12 Cash on Hand			
13 Other Money-Denominated Assets (Attach Detail)			
14 Total Cash and Bank Accounts (add lines 10 through 13)			
15 Total Available Assets (add lines 9 and 14)			

Liabilities and Net Assets:

16 Bills & Payables more than 30 days old (Attach Detail)			
17 Debts (Attach Detail)			
18 Total Liabilities (Add lines 16 and 17)			
19 Net Assets (line 15 minus line 18)			

SECTION 2: Reconciliation of Conservator's Account

Reconciliation of Cash and Regular Bank Accounts:

20 Starting Cash Balance (Enter Column A, line 14)			Starting Cash Balance comes from Column A, Line 14
21 Total Receipts (SCHEDULE 1, Column C, line 8)			
22 Available Funds (Add lines 20 and 21)			
23 Total Disbursements (SCHEDULE 1, Column C, line 23)			
24 Ending Cash Balance (line 22 minus line 23)			Ending Cash Balance must equal Column B, Line 14

In the matter of: _____ Case No. _____

SCHEDULE 3 (Form 10):

Statement of Sustainability of Conservatorship

(Refer to Form 10 Instructions to complete SCHEDULE 3)

Column A	Column B	Column C
Sustainability Estimated in Prior Period SCHEDULE 3: _____/_____/20	Updated Sustainability Estimated For Current Period _____/_____/20	Explanation of Change

1. Report Date of Sustainability Estimate:

Net Assets Available to Conservatorship:

2. Net Assets (See SCHEDULE 2, line 19)

3. Adjustments

4. Adjusted Net Assets (add lines 2 and 3)

Recurring Cash-Flow Surplus/(Shortfall):

5. Total Surplus/(Total Shortfall) (See SCHEDULE 1, line 22)

6. Adjustments

7. Adjusted Cash-Flow Surplus/(Shortfall) (add lines 5 and 6)

If line 7 is equal to or greater than zero, the Conservatorship is considered sustainable; DO NOT complete lines 8 through 10 in that Column.
If line 7 is less than zero, continue on line 8 in that Column.

8. Enter Adjusted Net Assets (line 4)

9. Enter Adjusted Cashflow Shortfall (Absolute Value of line 7)

10. Estimated Years of Sustainability (line 8 divided by line 9)

11. Estimate of Protected Person's remaining life expectancy

12. Conservatorship is Sustainable (See Instructions)
 Yes No

13. Preceding Management Plan

Management Plan Going Forward

NOTICE TO RECIPIENT OF THIS SCHEDULE:

The Conservator's estimates and analyses of Adjusted Net Assets, Adjusted Net Income/(Net Expenses), and Protected Person's Life Expectancy are good faith estimates based upon the information that is reasonably available to the Conservator concerning the Protected Person. This information is provided for the limited purpose of assisting the Court in its oversight of this Conservatorship.